



02/10/05

EXPRESS MAIL NO. EV335614585US

JFw

# TRANSMITTAL FORM

(To be used for all correspondence  
after initial filing)

	Application Number	10/735,039
	Filing Date	December 12, 2003
	First Named Inventor	Jason A. Krons
	Art Unit	2155
	Examiner Name	Bharat Barot
	Attorney Docket No.	120137.437C1

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input checked="" type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Other Enclosure(s) (please identify below): <hr/> <hr/> <hr/> <hr/>
---	---	--

## Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Seed Intellectual Property Law Group PLLC	Customer Number <b>00500</b>
Signature		
Printed Name	James A. D. White	
Date	February 8, 2005	Reg. No. 43,985

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature		
Typed or printed name	SENT VIA EXPRESS MAIL	Date:

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

557766



EXPRESS MAIL NO. EV335614585US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jason A. Kronz  
Application No. : 10/735,039  
Filed : December 12, 2003  
For : UNIVERSAL PROTOCOL FOR ENABLING A  
DEVICE TO DISCOVER AND UTILIZE THE  
SERVICES OF ANOTHER DEVICE

Art Unit : 2155  
Docket No. : 120137.437C1  
Date : February 8, 2005

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

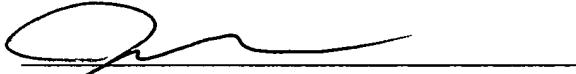
In accordance with 37 CFR 1.56 and 1.97 through 1.98, applicant wishes to make known to the U.S. Patent and Trademark Office the references set forth on the attached Form PTO-1449. Copies of the cited U.S. patents and published patent applications are not required and accordingly have not been provided. Copies of all other cited references are enclosed. As to any reference cited, applicant does not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserves the right to traverse or antedate any such reference, as by a showing under 37 CFR 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he

is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

Please acknowledge receipt of this Second Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Applicant believes this Second Supplemental Information Disclosure Statement has been timely filed, however, the Director is authorized to charge any fee due by way of this Information Disclosure Statement to our Deposit Account No. 19-1090.

Respectfully submitted,  
Seed Intellectual Property Law Group PLLC



James A. D. White  
Registration No. 43,985

Enclosures:

Postcard  
Form PTO-1449  
Cited References (9)

701 Fifth Avenue, Suite 6300  
Seattle, Washington 98104-7092  
Phone: (206) 622-4900  
Fax: (206) 682-6031

557768

FORM PTO-1449 (REV.7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 120137.437C1	APPLICATION NO. 10/735,039
FEB 08 2005 INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANT Jason A. Kronz	
		FILING DATE December 12, 2003	GROUP ART UNIT 2155

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	5,329,619	07/12/94	Page et al.	709	203	
AB	5,758,088	05/26/98	Bezaire et al.	709	232	
AC	5,933,849	08/03/99	Srbljic et al.	711	118	
AD	5,961,590	10/05/99	Mendez et al.	709	206	

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION
				YES      NO
AE				
AF				

**OTHER PRIOR ART** (*Including Author, Title, Date, Pertinent Pages, Etc.*)

AG	Chen, K., et al., <i>Programming Open Service Gateways with Java Embedded Server™ Technology</i> , Addison Wesley Professional, August 30, 2001, Chapter 1, "Introduction," pp. 1-14, retrieved January 26, 2005, from <a href="http://www.awprofessional.com/content/images/0201711028/samplechapter%5Cchench01.pdf">http://www.awprofessional.com/content/images/0201711028/samplechapter%5Cchench01.pdf</a> , 15 pages.
AH	OSGi™ Alliance, "About the OSGi Alliance," retrieved January 26, 2005, from <a href="http://www.osgi.org/about/index.asp?section=1">http://www.osgi.org/about/index.asp?section=1</a> , 2 pages.
AI	Niccolai, J., "Microsoft Fires Back at Sun's Jini," January 8, 1999, retrieved January 26, 2005, from <a href="http://www.javaworld.com/javaworld/jw-01-1999/jw-01-idgns-msplugplay_p.html">http://www.javaworld.com/javaworld/jw-01-1999/jw-01-idgns-msplugplay_p.html</a> , 2 pages.
AJ	"Pnp Middleware Connects Home Appliances for Networking," Nikkei Electronics Asia: August 1999 – Special Report, retrieved January 26, 2005, from <a href="http://www.nikkeibp.com/nea/aug99/specrep/">http://www.nikkeibp.com/nea/aug99/specrep/</a> , 7 pages.
AK	Home Toys Inc., "Echelon Corporation Takes Leadership Role in Microsoft's Universal Plug and Play Forum," retrieved January 26, 2005, from <a href="http://www.hometoys.com/releases/nov99/echelon02.htm">http://www.hometoys.com/releases/nov99/echelon02.htm</a> , 2 pages.
AL	Elliotte Rusty Harold, "August 1998 Java News," August 31, 1998, retrieved January 26, 2005, from <a href="http://www.ibiblio.org/javafaq/1998august.html">http://www.ibiblio.org/javafaq/1998august.html</a> , 10 pages.

EXAMINER	DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

FORM PTO-1449 (REV.7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 120137.437C1	APPLICATION NO. 10/735,039
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANT Jason A. Kronz			
		FILING DATE December 12, 2003	GROUP ART UNIT 2155		

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA						
	BB						
	BC						
	BD						
	BE						
	BF						
	BG						
	BH						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	BI					
	BJ					
	BK					
	BL					

**OTHER PRIOR ART** (*Including Author, Title, Date, Pertinent Pages, Etc.*)

BM		Microsoft Corporation, "Microsoft® Windows® Me Millennium Edition – Understanding Universal Plug and Play," retrieved January 26, 2005, from <a href="http://www.upnp.org/download/UPNP_UnderstandingUPNP.doc">http://www.upnp.org/download/UPNP_UnderstandingUPNP.doc</a> , 44 pages.
BN		Sun Microsystems, Inc., "Jini™ Network Technology – An Executive Overview," February 2001, retrieved January 26, 2005, from <a href="http://www.sun.com/software/jini/whitepapers/jini-execcoverview.pdf">http://www.sun.com/software/jini/whitepapers/jini-execcoverview.pdf</a> , 19 pages.
BO		Sun Microsystems, Inc., "The Connected Home Powered by Java Embedded Server™ Software," December 2000, retrieved January 26, 2005, from <a href="http://java.sun.com/products/consumer-embedded/whitepapers/dot.com.home.pdf">http://java.sun.com/products/consumer-embedded/whitepapers/dot.com.home.pdf</a> , 28 pages.

EXAMINER	DATE CONSIDERED
----------	-----------------

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).